



PATENT

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Allie Barrett
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Applicant: Qin
Serial No.: 10/694,111
Filed: October 27, 2003
Title: **OXYGEN-CONTAINING
HETEROCYCLIC FUSED
NAPHTHOPYRANS**
Examiner: Unknown
Group Art Unit: 1626
Atty Docket No.: 10-9436

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
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Sir:

In accordance with 37 C.F.R. § 1.56, Applicant(s) submit herewith patents, publications, or other information of which they are aware that may be considered in connection with the above-referenced patent application. Submission of this Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "material" to Applicants' invention as that term is currently defined in 37 C.F.R. § 1.56 (37 CFR § 1.97(h)).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other relevant information exists.

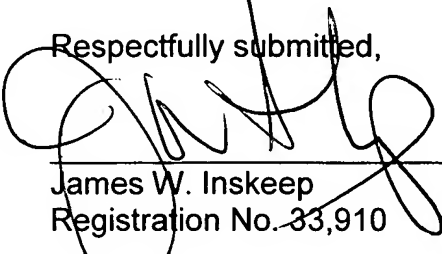
The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

A Patent and Trademark Office Form 1449 listing each of these informational items is enclosed along with a copy of each item.

This Information Disclosure Statement is being filed before the mailing date of the first Office Action issued on the merits of the subject application in accordance with 37 C.F.R. §1.97(b)(3). Also, pursuant to CFR 1.97(e)(1), the Information Disclosure Statement is being filed within the three month mailing date of the International Search Report.

In the event that a first Office Action has been mailed to the undersigned prior to the mailing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge payment of the applicable fee pursuant to 37 C.F.R. § 1.17(p) to Deposit Account No. 50-2809. A duplicate copy of this document is enclosed.

Oct. 11, 2004
Date

Respectfully submitted,


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Substitute for form 1449A/PTO

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	1	of	1	Application Number	10/694,111
				Filing Date	10/27/2003
				First Named Inventor	Qin
				Group Art Unit	1626
				Examiner Name	Unknown
				Attorney Docket Number	10-9436

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Number	Cited Documents MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		U.S. Patent Document	Date of Publications of	Name of Patentee or	Pages, Columns, Lines, Where
	A1	6,686,468	02-03-2004	Mann et al.	Columns 1-5
	A2	6,630,597	07-10-2003	Lin et al.	Columns 1-4
	A3				
	A4				
	A5				

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Date of Publications of Cited Documents MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
	B1							
	B2							
	B3							
	B4							
	B5							

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1		
	C2		
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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